

## 华侨永亨银行（中国）有限公司离岸公司问卷

### OCWH (China) NRA On-Boarding Memo

#### Part A: Customer Filling Part 客户填写部分

(For item with ‘\*’, customer need to provide documents 带\*项目需要客户提供文件)

#### - Company Information

##### 公司信息

* Company name * 公司名称 (中文及英文名)																					
* Country of incorporation * 公司注册国家																					
*Incorporation ID * 公司注册号																					
*Date of incorporation * 公司成立日期																					
Date of operating 公司开始营业时间																					
Number of employees 员工人数																					
*Mailing address for the account * 账户邮寄地址 邮编	请提供大陆的邮寄地址和邮编																				
Address of physical company 实体公司地址																					
Share capital (currency/amount) 实缴资本 (币种/金额)																					
Source of Share capital (if >USD100k) 实缴资本来源 (如资本金大于 10 万美元)																					
Net assets (currency/amount) 公司净资产 (币种/金额)																					
Initial deposit 账户初始存入资金																					
*Direct shareholder of company (individual or China mainland registered company) * 公司直接股东 (国内企业或个人)																					
*ODI Certificate (if direct shareholder is China mainland registered company) * 海外直投批准书 (如直接股东是国内企业)																					
*Information of all directors * 所有董事的信息	<table border="1"> <thead> <tr> <th></th> <th>Name 姓名</th> <th>ID No. 身份证号</th> <th>Mobile No. 手机号码</th> <th>Email box 邮箱地址</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>2.</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>3.</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		Name 姓名	ID No. 身份证号	Mobile No. 手机号码	Email box 邮箱地址	1.					2.					3.				
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1.																					
2.																					
3.																					

<p>*Background of the directors * 董事的背景资料/工作经验</p>	<p><i>Work experience shall be provided</i> 需提供工作简历</p> <p>1. 2. 3.</p>				
<p>What is the source of wealth of the directors 董事的财富来源</p>	<p><input type="checkbox"/> <i>Family gifts</i> 家族资产 <input type="checkbox"/> <i>Investment gains</i> 投资所得 <input type="checkbox"/> <i>Salary</i> 工作薪资 <input type="checkbox"/> <i>others</i> 其他</p>				
<p>*Information of key shareholders (holding 25% percent or above stocks) * 主要股东的信息</p>		<p>Name 姓名</p>	<p>ID No. 身份证号</p>	<p>Mobile No. 手机号码</p>	<p>Email box 邮箱地址</p>
<p>*Background of the shareholders * 股东的背景资料/工作经验</p>	<p><i>Work experience shall be provided</i> 需提供工作简历</p> <p>1. 2. 3.</p>				
<p>What is the source of wealth of the shareholders 股东的财富来源</p>	<p><input type="checkbox"/> <i>Family gifts</i> 家族资产 <input type="checkbox"/> <i>Investment gains</i> 投资所得 <input type="checkbox"/> <i>Salary</i> 工作薪资 <input type="checkbox"/> <i>others</i> 其他</p>				
<p>*Any shareholder changes in past 5 years (if yes, please state reason) * 过去 5 年内是否更换过股东 (如有, 则需说明原因)</p>					
<p>For director/shareholder working for other company, please state that he/her is accepted for its employer to take position in this company 如果董事/股东就职于其他企业, 请申明其雇主同意其在本公司任职。</p>	<p><input type="checkbox"/> <i>Accepted</i> 同意任职 <input type="checkbox"/> <i>Not accepted</i> 不同意任职</p>				
<p>*Information of finance controller * 董事以外的财务人员的信息</p>		<p>Name 姓名</p>	<p>ID NO. 身份证号</p>	<p>Mobile No. 手机号码</p>	<p>Email box 邮箱地址</p>
<p>Names of all signatories for this account 此账户所有签字者的姓名</p>	<p>1. 2. 3.</p>				

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- **KYC Information**

客户相关信息

Please explain about your business activities in detail 请详细说明公司业务内容	
Main country of business 业务主要经营国	
Account currency type to open 拟开户币种	USD
*Existing bank relationships & statements (if any) *与公司建立业务关系银行及近期银行流水 (如有)	Please provide scanned copies of bank statement in the past five months. 请提供现有开户银行至少近5个月的银行流水, 扫描件即可
*Has the company been exited by other banks? If so, pls state the reason (if any) * 公司是否曾被某家银行清退? 如是, 请说明原因 (如有)	
What is the reason for choosing to use an offshore company 选择使用离岸公司的主要原因 (客户请选择后并说明)	<input type="checkbox"/> wealth management 投资理财 <input type="checkbox"/> International Trading 国际贸易 <input type="checkbox"/> Agency/ Licensing/ 国际总代理及授权 <input type="checkbox"/> outbound investment 境外投资 <input type="checkbox"/> others 其他
What is the reason for choosing to open the bank account 开户的主要原因 是什么	国际贸易收付汇/其他
Existing/planned trade model and cycle 现有/拟开展的贸易模式及周期	
Names of your key suppliers 主要供应商的名称及所在国家	1. 2. 3. 4. 5.
Names of your key customers 主要客户的名称及所在国家	1. 2. 3. 4. 5.
Names of your related company registered in mainland 国内关联企业的名称	1. 2. 3. 4.
Expected turnover per year 预估每年营业额	
Source of incoming funds into the account 账户流入资金来源	For example, trade commission / consultants' fees / agency fees or others

	例如: 贸易佣金/顾问费/代理费或其他
Source and intended destination of outgoing funds from the account 账户流出资金的流向及预期目的地	For example, trade commission / consultants' fees / agency fees / salary or others 例如: 支付贸易佣金/顾问费/代理费/薪资或其他

- **Amount and Frequency of Expected Transactions Inflow through OCWH China**  
 预期通过华侨永亨银行（中国）的交易流入量及频率

Estimated monthly frequency 收款次数/月	
Estimated monthly transaction amount 预估每月汇入款项金额	
Payment term 具体结算模式	<input type="checkbox"/> By Transmit 电汇 <input type="checkbox"/> By Letter of Credit 信用证 <input type="checkbox"/> By Collection 托收
Purpose 目的	For example, trade commission / consultants' fees / agency fees or others 例如: 贸易佣金/顾问费/代理费或其他

- **Amount and Frequency of Expected Transactions Outflow through OCWH China**  
 预期通过华侨永亨银行（中国）的交易流出量及频率

Estimated frequency 付款次数/月	
Estimated monthly transaction amount 预估每月汇出款金额	
Payment terms 具体付款模式	<input type="checkbox"/> By Transmit 电汇 <input type="checkbox"/> By Letter of Credit 信用证 <input type="checkbox"/> By Collection 托收
Purpose 目的	For example, trade commission / consultants' fees / agency fees / salary or others 例如: 支付贸易佣金/顾问费/代理费/薪资或其他

客户填表人职称: \_\_\_\_\_

客户签字/签章: \_\_\_\_\_

日期: \_\_\_\_\_

汇迈国际

Part B: 银行填写部分 For Bank use only

- **Source of customer**  
 客户来源

Channel 渠道	Tick where applicable 请勾选	Details description 具体描述
Bank referral 银行内部介绍		
Branch walk-in 上门客户		
Company secretary referral 秘书公司推荐介绍		
Service request 要求我行提供相关服务		
Others 其他		

- **Licensing status of the Company Secretary (for Hong Kong incorporated/registered company only)**  
 代理公司执照情况（仅对香港注册公司）

Checkpoint 检查点	Tick where applicable 请勾选	Detailed description 具体描述
TCSP licensed 是否持有 TCSP 执照	Yes/No	<p>If Yes, The Company Secretary is TCSP licensed as Checked on the Register maintained by Company Registry  <a href="https://www.tcsp.cr.gov.hk/tcspls/search/public-search">https://www.tcsp.cr.gov.hk/tcspls/search/public-search</a></p> <p>If no, The Company Secretary is not TCSP licensed as: It falls under the exemption:</p> <p><input type="checkbox"/> an authorized institution within the meaning of section 2(1) of the Banking Ordinance, Cap. 155;</p> <p><input type="checkbox"/> a licensed corporation that operates a trust or company service business in Hong Kong that is ancillary to the corporation's principal business (please refer to section 1 of Part 1 of Schedule 1 to the Securities and Futures Ordinance, Cap. 571 for the meaning of "licensed corporation");</p> <p><input type="checkbox"/> an accounting professional; or</p> <p><input type="checkbox"/> a legal professional.</p>

		Or please provide justification:
Manual screening (Ename checking and internal watch list screening) 人工名单筛查	Hit/Not hit	If there is a true hit, BU need to assess: 1. Any sanction nexus especially to DPRK 2. Any red flags which indicate to shell companies 3. Other red flags.
Others 其他		e.g. Any material negative news ?

**Assessment on Abnormality (if any) and justification for final conclusion**

对于预警信号/异常情况的分析 (如有) 以及形成最后结论的理由

Abnormality If any 预警信号/异常情况	Assessment on Abnormality (if any) and 对于预警信号/异常情况的分析
1. 如客户不能提供必需的文件 2. 3. 4.	1. 2. 3. 4.
Final conclusion and justification 最终结论以及理由	

Maker (制作人) : \_\_\_\_\_ Approver (批准人) : \_\_\_\_\_

Date (日期) : \_\_\_\_\_